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SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0504 Expires: August 31, 2010 Estimated average burden hours per response.....3.60

FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

25 2010

Part I

**Initial Listing Report** 

Washington DC

 Name of Self-Regulatory Organization Listing New Derivative Securities Product: Nasdaq Stock Market LLC (traded pursuant to unlisted trading privileges)

476

- 2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):

  Open End Management Investment Company
- 3. Class of New Derivative Securities Product:

**Exchange Traded Fund** 

4. Name of Underlying Instrument:

**Fidelity Low Duration Investment Grade Factor Index** 

18002923

- 5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:

  Broad-based
- 6. Ticker Symbol(s) of New Derivative Securities Product:

FLDR

7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:

Listed on: OTC

- 8. Position Limits of New Derivative Securities Product (if applicable): Regular way trades settle on T + 2 (cash settled)
- Position Limits of New Derivative Securities Product (if applicable):
   N/A

Part II

Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:

William Slattery

Title:

**Vice President** 

Telephone Number:

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Manual Signature of Official Responsible for Form

Date: July 20, 2018

SEC 2449 (6-01)

Act

Securities Exchange Act of 1934

Section Rolls

19b-4(e)

Availability

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